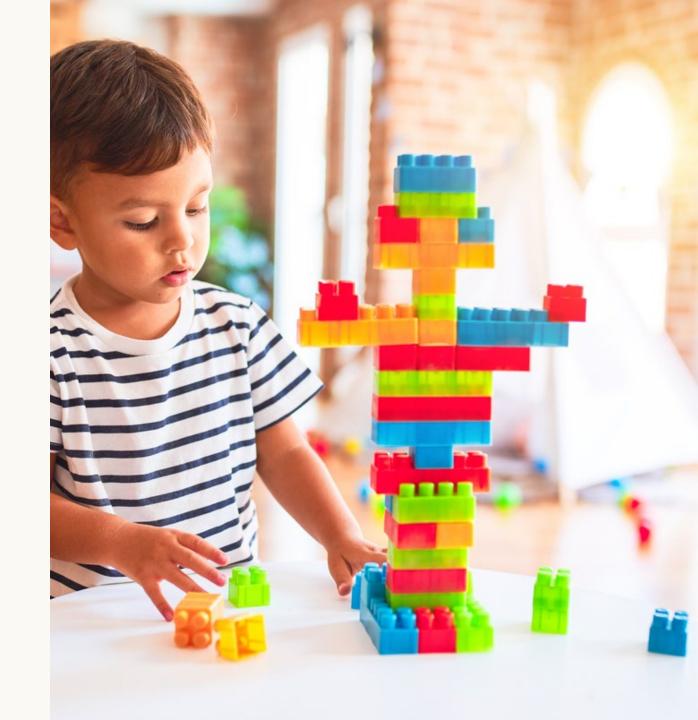
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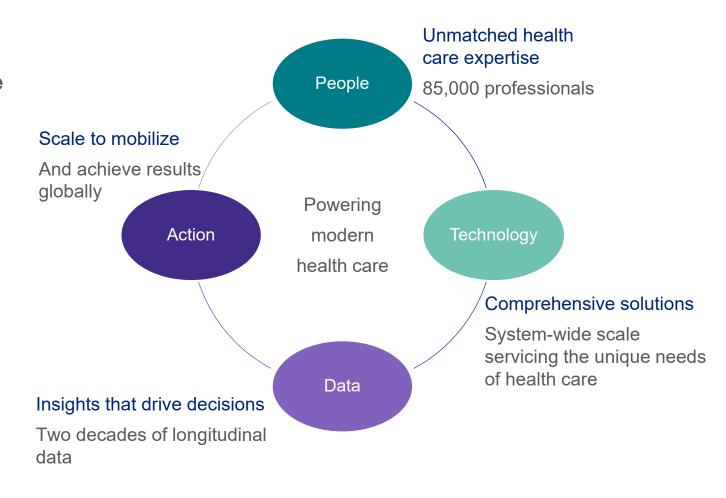
New York Medicaid

ABA Provider Orientation
Optum with UnitedHealthcare Community
Plan New York



Who is Optum?

- Optum is a collection of people, capabilities, competencies, technologies, perspectives and partners sharing the same simple goal: to make the health care system work better for everyone
- Optum works collaboratively across the health system to improve care delivery, quality and cost-effectiveness
- We focus on three key drivers of transformative change:
 - 1. Engaging the consumer
 - 2. Aligning care delivery
 - Modernizing the health system infrastructure





UnitedHealth Group structure

UNITEDHEALTH GROUP®



Helping make the health system work better for everyone

Information and technology- enabled health services:

- Health and Behavioral Health management and interventions
- Health Technology solutions
- Pharmacy solutions
- Intelligence and decision support tools
- Administrative and financial services



Helping people live healthier lives

Health care coverage and benefits:

- Employer & Individual
- Medicare & Retirement
- Community & State
- Global



Our United culture

Our mission is to help people live healthier lives
Our role is to make health care work for everyone

Integrity.
Compassion.
Relationships.
Innovation.
Performance.

Honor commitments Never compromise

Walk in the shoes of the people we serve And those with whom we work

Build trust through collaboration

Invent the future, learn from the past

Demonstrate excellence in everything we do



Who is Optum

Making care simpler and more effective for everyone

Health intelligence and innovation





Seamless administrative transactions

Whole person health - physical, mental and social



Simpler,

smarter care

coordination

Connecting every aspect of health
Designing care around the person
Making health care smarter
Ensuring equitable health for all



Health equity ingrained into every aspect of our company culture



Innovative community care models

Proven clinical expertise and informed decision support





Information when you need it



Optum and you

Our relationship with you is foundational to the recovery and well-being of the individuals and families we serve. We are driven by a compassion that we know you share. Together, we can set the standard for industry innovation and performance.

Achieving our Mission:

- Starts with Providers
- Serves Members
- Applies global solutions to support sustainable local health care needs

From risk identification to integrated therapies, our mental health and substance abuse solutions help to ensure that people receive the right care at the right time from the right providers.



Specialty network services

Customers we serve:

- 50% of the Fortune 100 and 34% of the Fortune 500
- Largest provider of global Employee Assistance
 Programs (EAP), covering more than 19 million lives in over 140 countries
- Local, state and federal government contracts (Public Sector)

Serving almost 43 million members:

- 1 in 6 insured Americans
- The largest network in the nation, delivering best in class density, discounts and quality segmentation
- More than 140,000 practitioners; 4,200 facilities with 9,000 facility locations

Simultaneous NCQA and URAC accreditation

Staff expertise:

Multi-disciplinary team of 50 staff
 Medical Directors, (e.g., child and adolescent, medical/psychiatric, Board-Certified Behavior Analysts, and addiction specialists) just to name a few



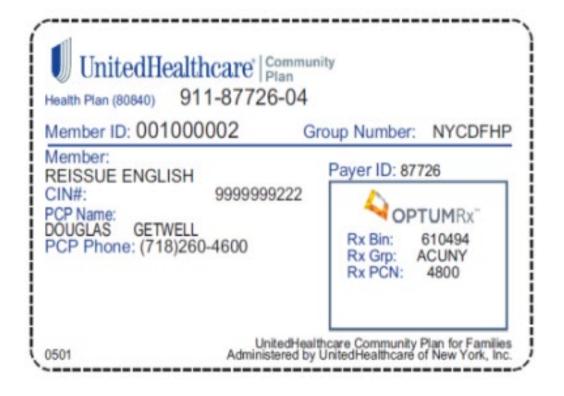


Optum ABA NY Medicaid Member Information



NY Medicaid member ID card

- Will be sent directly to the member
- All relevant contact information will be on the back of the card for both medical and behavioral customer service







Member rights and responsibilities

Members have the right to be treated with respect and recognition of his or her dignity, the right to personal privacy, and the right to receive care that is considerate and respectful of his or her personal values and belief system

Members have the right to disability related access per the Americans with Disabilities Act

You will find a complete copy of Member Rights and Responsibilities in the Provider Network Manual

These can also be found on the website: <u>providerexpress.com</u>

These rights and responsibilities are in keeping with industry standards. All members benefit from reviewing these standards in the treatment setting

We request that you display the Rights and Responsibilities in your waiting room, or have some other means of documenting that these standards have been communicated to the members





Member website

Live and Work Well makes it simple for members to:

- Identify network clinicians and facilities
- Locate community resources
- Find articles on a variety of wellness and work topics
- Take self-assessments







The search engine allows members and providers to locate in-network providers for behavioral health and substance use disorder services.

Providers can be located geographically, by specialty, license type and expertise.

The website has an area designed to help members manage and take control of life challenges.



Who is eligible?

To be eligible for ABA services, a client must meet the following criteria:

- NY Medicaid Must be up to age 21
 - Must be covered under NY Medicaid
- Must have a diagnosis of autism spectrum disorder (ASD) and/or Rett Syndrome as defined by the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5)





Credentialing Criteria NY Medicaid Autism/ABA Network



Required: NPI and EIN/TIN

Licensed Behavior Analyst (LBA) Providers must be enrolled with New York Medicaid National Provider Identifier (NPI):

- Health Insurance Portability and Accountability Act of 1996 (HIPAA) mandated the adoption of standard unique identifiers for health care providers and health plans
- The purpose of these provisions is to improve the efficiency and effectiveness of the electronic transmission of health information
- We require that all claims submitted have an NPI number and taxonomy codes for reimbursement

To obtain an NPI number, follow the instructions on the NPI web site:

nppes.cms.hhs.gov

Tax Identification Number (TIN), Employee Identification Number (EIN), or Social Security Number (SSN) information:

- irs.gov
- Apply for an Employer Identification Number (EIN) Online | Internal Revenue Service (irs.gov)

Professional Liability Insurance:

 BACB - Behavior Analyst Certification Board has coverage information; enter "liability in the site's "Search" feature located in the right side of the menu





ABA provider criteria

Applied Behavior Analysis (ABA) services for NY Medicaid members can be provided by

- Licensed Behavior Analyst (LBA)
 - Board Certified Behavior Analyst (BCBA) with active certification from the national Behavior Analyst Certification Board, and
 - State licensure in good standing
 - Have a Medicaid ID from the state of New York
 - ☐ Compliance with all state/autism mandate requirements as applicable to behavior analysts
- Certified Behavior Analyst Assistant (CBAA) working under the supervision of LBA's
 - ☐ Have a Medicaid ID from the state of New York
- Other individuals specified under Article 167 of NYS education law









ABA Virtual Visits

Optum allows BCBAs/Licensed BH Clinicians within contracted ABA practices to conduct ABA supervision and/or caregiver training via telehealth.



In order to provide supervision and/or caregiver training services via telehealth, you must be an approved Optum virtual visits provider who has attested to meeting the requirements specific to providing these services:

- You can complete and submit a virtual visits attestation on our virtual visits page of Provider Express and will be notified of approval or denial
- Once approved as a virtual visits provider, please be sure to alert the Optum Care Advocate that the ABA supervision and caregiver training services will be provided virtually when completing the authorization process

After receiving authorizations, to bill for the virtual ABA Supervision of Behavior Technicians and Family Training and Guidance:

Simply include the same procedure code you would use for an in-person service, 97151, 97152, 97153, 97155 or 97156 on your claim with the "02" place of service code to let us know the service was provided via telehealth

Additional information and resources can be found on our ABA page at Provider Express.



Steps in Providing Treatment

Eligibility, Authorizations & Concurrent Reviews



Clinical teams

Dedicated Autism Clinical Team

There is a dedicated autism clinical team that supports the New York Medicaid ABA program:

- Each team member is a licensed behavioral health clinician or BCBA with experience and training in Autism
- Supervised by a manager who is a licensed psychologist and BCBA-D





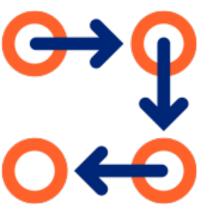
Intake

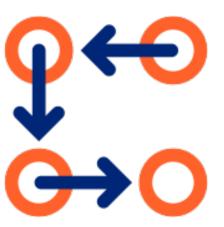
At intake

- Copy front and back of the member's insurance card
- Record subscriber's name and date of birth

Suggested information:

- Provide subscriber with your HIPAA policies
- Provide subscriber with consent for billing using protected health information including signature on file
- Always get a consent for services
- Informed Consent: services, to leave voicemail, email, etc.
- Billing policies and procedures
- Release of Information to communicate with other providers







Release of information

- We release information only to the individual, or to other parties designated in writing by the individual, unless otherwise required or allowed by law
- Members must sign and date a Release of Information for each party that the individual grants permission to access their PHI, specifying what information may be disclosed, to whom, and during what period of time
- The member may decline to sign a Release of Information which must be noted in the Treatment Record; the decline of the release of information should be honored to the extent allowable by law
- PHI may be exchanged with a network clinician, facility or other entity designated by HIPAA for the purposes of Treatment, Payment, or Health Care Operations





Eligibility and prior authorization

All ABA services require prior authorization:

- Verify benefits/eligibility online at <u>UHCprovider.com</u> or call the Behavioral Health number located on the back of the member's ID card
- Check benefit coverage relating to both the service (e.g., Is Autism-based therapy covered?) and the diagnosis (e.g., Is autism covered?) on provider portal or by calling the number on the member's insurance card.
- Online assessment request at: <u>electronicforms.force.com/ABATreatment/s/</u>
- Prior Authorization obtained by calling the number on the back of the member's card
- Authorization status can be viewed online at <u>UHCprovider.com</u>
- When calling the Autism Care Advocate you must have:
 - Member's name
 - □ ID#
 - Date of birth
 - Address





Treatment request requirements

Meet Medical Necessity <u>Goals are.</u>

- Related to the core deficits
- Objective
- Measurable
- Individualized

Includes:

- Baseline and mastery criteria
- Transition Plan to lower level of care
- Discharge Criteria
- Behavior Reduction Plan/Crisis Plan
- Parent Goals
- Supervision and treatment planning hours
- Relevant psychological information
- Coordination of care with other providers

Not educational in nature

For more information, please see the Treatment Request Guidelines on the Autism/Applied Behavior Analysis page of Provider Express.



Clinical information requirements for each review

- Confirmation member has an appropriate
 DSM-5 diagnosis that can benefit from ABA
- Any medical or other mental health diagnoses
- Any other mental health or medical services member is in
- Any medications member is taking
- How many hours per week is member in school?
- Parent participation
- Why IBT now?

- How long has member been in services?
- Goals must not be educational or academic in nature; they must focus only on the core deficits such as imitation, social skills deficits and behavioral difficulties
- Discharge criteria
- Must meet medical necessity (see Provider Express for the Level of Care Guidelines and Coverage Determination Guidelines)

For more information, please see the Treatment Request Guidelines on the Autism/Applied Behavior Analysis page of Provider Express.



Concurrent reviews

The same information will be needed for each review:

- Any medical or other mental health diagnoses
- Any other mental health or medical services member is in
- Any medications member is taking
- How many hours per week is member in school?
- Parent participation

- Progress or lack thereof
- Goals must not be educational or academic in nature – focusing only on the core deficits such as imitation, social skills deficits and behavioral difficulties
- Discharge criteria
- Must meet medical necessity (see Provider Express for the Optum Autism/ABA Clinical Policy)





Assessment authorization – online portal submission





Prior assessment authorization – online portal submission



Log In | First-time User | Global | Site Map

Search: Search Search Search

Home Our Network Clinical Resources Admin Resources Video Channel Training About Us Contact Us

Optum - Provider Express Home > Clinical Resources > Autism/Applied Behavior Analysis

Autism/Applied Behavior Analysis

Optum is recruiting Board Certified Behavior Analysts (BCBA) in solo private practice and qualified agencies that provide intensive ABA services in the treatment of ASD, for our Autism/ABA provider network.

If you are a master's or doctoral level BCBA providing intensive ABA in private practice, or are employed by an ABA agency that treats ASD clients, you may qualify for this unique network.

To learn more about the process for applying to the network and the clinical protocols your participation in this network would require you to follow, please review the materials below

Please contact our Provider Service Line at 877-614-0484 with any questions regarding your participation and group model verses facility model.



Commercial ABA Program

- FAQ Autism/ABA 🔀 🗖
- ABA Agency Provider Orientatio [] n
- ABA Agency Quick Reference Guide
- ABA Virtual Visits for Commercial Members

Provider Express Resources & Tutorials

- · Overview of online tools that improve workflow and efficiency
- How to become a registered Provider Express user [2] (Brief video overview of obtaining your Optum ID)
- ABA online eligibility and benefit inquires
 ☐ (Brief how-to video overview)
- How to view ABA authorizations online (You see what we see brief video overview)

State Medicaid ABA Programs

AZ AHCCCS ABA Program

CA Medi-Cal ABA Program

Hawaii QUEST ABA Program

Healthy Louisiana ABA Program

ID Medicaid Behavior Modification and Consultation Program

Iowa Healthlink ABA Program KanCare Autism Program

Kancare Autism Program

MA MassHealth ABA Program

MS CAN / CHIP Autism Program

NC Medicaid Research-Based Intensive Behavioral Health Treatment Program

NE Heritage Health ABA Program

New York Medicaid ABA Program

OH Public Health Care Program (OHPHCP) ABA Program

Virginia Medicaid EPSDT ABA Program

WA Medicaid ABA Program



Prior assessment authorization – online portal submission



<u>Log In</u>	First-time User	Global	Site Map	

arch:	Search			Search
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Home Our Network Clinical Resources Admin Resources Video Channel Training About Us Contact Us

Optum - Provider Express Home > Clinical Resources > Applied Behavior Analysis Information > New York CHP ABA

New York Medicaid ABA Program

UnitedHealthcare Community Plan is one of the selected managed care plans providing coverage to New York Child Health Plus (CHP)and New York Essential Plan Plus (EPP) members. Optum has been selected by UnitedHealthcare Community Plan to develop and manage the ABA network for New York CHP and EPP members. Your participation in our network helps to ensure access to comprehensive quality care for covered behavioral health services for enrolled members.

To assist you in your participation in this program, learn more about the process for applying to the network, and the clinical protocols required in this unique network, please review the resource materials below

- . New York CHP and EPP ABA Provider Orientation
- New York CHP ABA and EPP Provider Quick Reference Guide
- ABA Assessment Portal Online ABA Assessment Requests Electronic submission
- . ABA Treatment Request Form Electronic submission

Contact Us/Request to Join the Network





Billing and Reimbursement



Diagnostic coding

Guides for Coding:

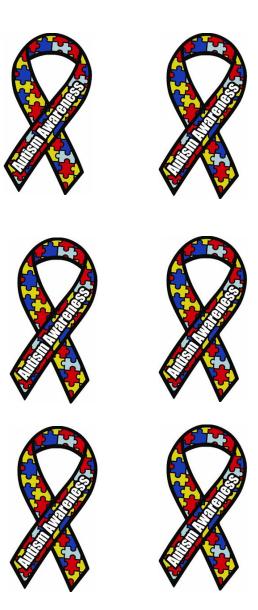
- DSM-5 defined conditions:
 - ☐ Clinical criteria for ASD
 - Maps to the appropriate ICD billing code

ASD Coverage:

Autism Spectrum Disorder, F84.0 (ICD-10)

A complete diagnosis with all 4 digits is required on all claims utilizing the ICD-10 coding.







NY ABA Medicaid

UNITED BEHAVIORAL HEALTH				
Billing Code	ling Code Modifier Service Description		Units	
		Behavior identification assessment, administered by a physician or other qualified health care		
		professional, each 15 minutes of the physician's or other qualified health care professional's time face to		
		face with patient/or guardian(s)/caregiver(s) administering assessments and discussing findings and		
		recommendations, and non-face-to-face analyzing past data, scoring/interpreting the assessment, and		
97151		preparing the report/treatment plan.	15 min	
		Behavior identification-supporting assessment, administered by one technician under the direction of a		
97152		physician or qualified health care professional, face-to-face with the patient, each 15 minutes.	15 min	
		Adaptive behavior treatment by protocol, administered by a technician under the direction of a physician		
97153		or other qualified health care professional, face-to-face with one patient, every 15 minutes.	15 min	
		Adaptive behavior treatment with protocol modification, administered by a physician or other qualified		
		health care professional, which may include simultaneous direction of a technician, face-to-face with one		
97155		patient, every 15 minutes.	15 min	
		Family adaptive behavior treatment guidance, administered by physician or other qualified health care		
		professional (with or without the patient present), face-to-face with guardian(s)/caregiver(s), each 15		
97156		minutes.	15 min	
		Group adaptive behavior treatment with protocol modification, administered by physician or other		
97158		qualified health care professional, face-to-face with multiple patients, each 15 minutes.	15 min	



Claims submission

All Autism/ABA Claims must be:

- Submitted on a Form 1500 (v.02/12) claim form
- Submit electronically via <u>providerexpress.com</u> or <u>UHCprovider.com</u> using the Claim Entry transaction feature
- Submit electronically using an EDI clearinghouse and payer ID # 87726
- Include appropriate taxonomy codes
- Submitted within 120 days of date of service

Please send paper claims to:

Optum Behavioral Health
 P.O. Box 30760
 Salt Lake City, Utah 84130-0760



Claims status can be obtained by calling the Claims Customer Service Center:

- Optum 1-866-362-3368
- Logging into <u>providerexpress.com</u> or <u>UHCprovider.com</u>



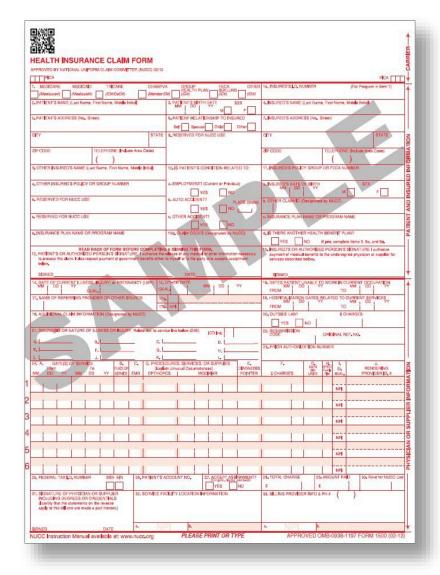
Form 1500 - claim form

All billable services must be coded.

- Coding can be dependent on several factors:
 - ☐ Type of service (assessment, treatment, etc.)
 - ☐ Rate per unit
 - ☐ Place of service (home or clinic)
 - ☐ Duration of therapy (1 hr. vs. 15 min)
 - ☐ One DOS per line

You must select the code that most closely describes the service(s) provided.

Please follow billing instructions provided by your Network Manager based on your contract and system set-up.





Claims tips

To ensure clean claims remember:

- An NPI number and taxonomy code is always required on all claims
- A complete diagnosis is also required on all claims

Claims filing deadline

Timely filing for NY Medicaid is 120 days from date of service

Balance billing

 The member cannot be balance billed for behavioral services covered under the contractual agreement

Member eligibility

Provider is responsible to verify member eligibility through DHS website

Coding issues

- Coding issues including incomplete or missing diagnosis invalid or missing HCPC/CPT examples:
 - ☐ Submitting claims with codes that are not covered services
 - ☐ Required data elements missing, (i.e., number of units)

Provider information missing/incorrect

Example: provider information has not been completely entered on the claim form or place of service

Prior authorization required

Prior authorization is required for all services or when additional units are being requested





Denials

Explanation of Benefits (EOB) / Provider Remittance Advice (PRA)

- Denial Codes:
 - Ineligible
 - Over limit
 - No out-of-network benefits
 - □ Prior approval required
- Non-Coverage Determination (NCD)
- Appeals





Claims tips

Rejections/Denials:

- Rejected claim Claims that are rejected prior to hitting Optum claims system
 - ☐ Claims could be rejected for missing claims data (e.g., missing NPI, TIN or other required data element)
- Denied claim Claims that are denied by Optum claims system
 - ☐ Claims could be denied automatically during auto adjudication (e.g., eligibility or timely filing issues)
 - ☐ Or claims could be denied during processing (e.g., no authorization on file, etc.)









Claims submission option 1- online

Log on to <u>UHCprovider.com</u>:

- Secure HIPAA-compliant transaction features streamline the claim submission process
- Performs well on all connection speeds
- Submitting claims closely mirrors the process of manually completing a Form 1500 claim form
- Allows claims to be paid quickly and accurately

You must have a registered user ID and password to gain access to the online claim submission function:

To obtain a user ID, call toll-free 1-866-842-3278





Claims submission option 2 – EDI/electronically

Electronic Data Interchange (EDI) is an exchange of information

Performing claim submission electronically offers distinct benefits:

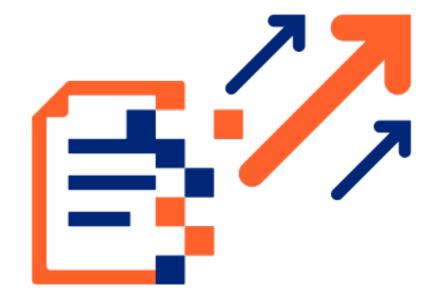
- Fast eliminates mail and paper processing delays
- Convenient easy set-up and intuitive process, even for those new to computers
- Secure data security is higher than with paper-based claims
- Efficient electronic processing helps catch and reduce pre-submission errors, so more claims auto-adjudicate
- Notification you get feedback that your claim was received by the payer; provides claim error reports for claims that fail submission
- Cost-efficient you eliminate mailing costs; the solutions are free or low-cost



Claims submission option 2 - EDI/electronically (cont.)

You may use any clearinghouse vendor to submit claims payer ID for submitting claims is 87726 Additional information regarding EDI is available on:

- EDI Contacts | UHCprovider.com and
- UHCprovider.com





Optum Pay[™]

With Optum Pay, you receive electronic funds transfer (EFT) for claim payments, plus your EOBs are delivered online:

- Lessens administrative costs and simplifies bookkeeping
- Reduces reimbursement turnaround time
- Funds are available as soon as they are posted to your account

To receive direct deposit and electronic statements through Optum Pay you need to enroll at <u>myservices.optumhealthpaymentservices.com/registrationSignIn.do</u>

Here's what you'll need:

- Bank account information for direct deposit
- Either a voided check or a bank letter to verify bank account information
- A copy of your practice's W-9 form

If you're already signed up for Optum Pay with UnitedHealthcare Commercial or UnitedHealthcare Medicare Solutions, you will automatically receive direct deposit and electronic statements through Optum Pay for UnitedHealthcare Community Plan when the program is deployed.

Note: For more information, please call **1-866-842-3278**, option 5 or go to UHCprovider.com > Claims, Billing and Payments > Optum Pay.



Provider Express



providerexpress.com

You can find:

- Clinical Criteria
- ABA Clinical Policy
- Best Practices
- Optum Network Manual
- Contact Information
- Common Forms
- Verify Benefits and Eligibility
- Claims Status
- Claim Submission
- Authorization Status

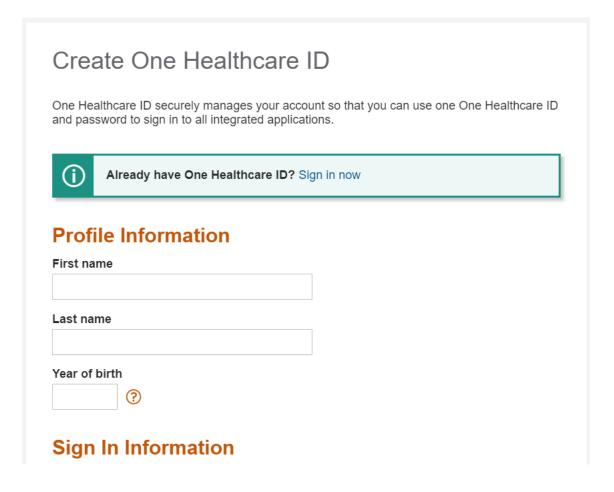


Please contact your assigned network manager for any practice updates (demographics, etc.)



providerexpress.com - First Time Users

- Register online for immediate access to secure Transactions
- No fees apply
- Provider Express Support Center available from 7 a.m. to 9 p.m. Central time – toll free at 1-866-209-9320
- Live chat feature also available

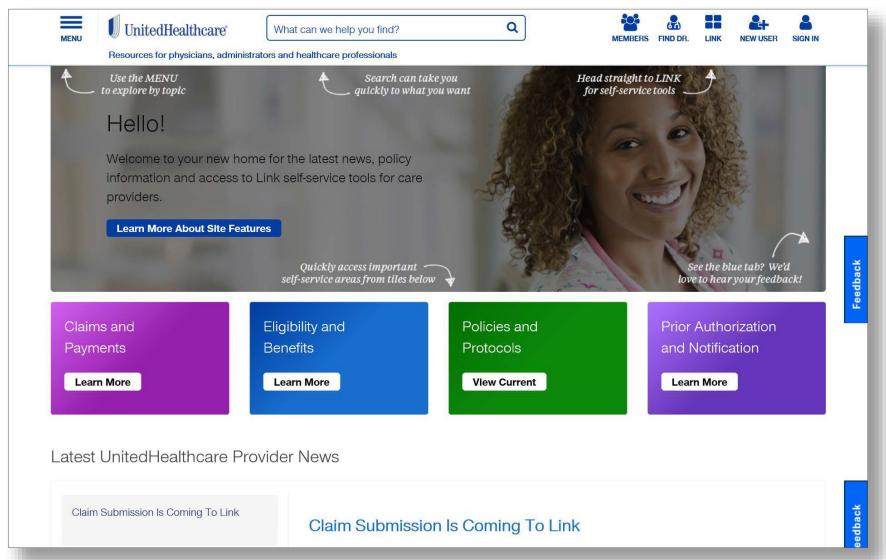




Resources



UHCprovider.com provider website





New York Medicaid ABA Program Provider Quick Reference Guide

Optum

New York Medicaid ABA Program

Quick Reference Guide

ID Card	UnitedHealthcare Comments
Clinician is Responsible for:	Verifying benefits/eligibility online at <u>uhcprovider.com</u> or call the Behavioral Health number located on the back of the member's ID card Obtaining authorization as necessary Being familiar with the Network Manual located on our web site: <u>providerexpress.com</u> >Guidelines / Policies & Manuals> Network Manual
Prior Authorization	All autism services require prior authorization: Verify benefits/eligibility online at <u>providerexpress.com</u> or call the Behavioral Health number located on the back of the member's ID card Prior Authorization can be obtained via Treatment Authorization Request Form and submitted either Online at <u>optumpeeraccess.secure.force.com/ABAtreatment/</u> Or call 1-866-830-0325
Claims Paper Submission	Mail paper claims to: Optum Behavioral Health, P.O Box 30760, Salt Lake City, UT 84130-0760 All autism provider services must be billed on a Form 1500 Submission should occur within 120 days of date of service
Electronic Submission	Submit claims online through: Claims Payer ID 87726 providerexpress.com of uhcprovider.com EDI Support 1-800-210-8315 or email ac_edi_ops@uhc.com
Claim Status	Claims status can be obtained by calling Customer Service Center: 1-866-362-3368 Or through the web portal at providerexpress.com or uhcprovider.com
Appeals and Grievances	Claims appeal process: Process for appeal will be detailed in the Member's Rights Enclosure which accompanies the Explanation of Benefit (EOB) denial notice sent to the provider and the member Appeals must be received within 180 days from the date of disposition on the remittance report (EOB)
Update Practice Info	You can update your practice information by contacting your designated Autism Network Manager.
Disclaimer	Information contained herein is subject to change. Please contact your Network Manager with any questions.
Network Management	Jaime Schweers, Specialty Network Manager Email: Jaime_schweers@optum.com



Appendix



Helpful websites

To get an NPI number:

NPPES (hhs.gov)

To learn more about HIPAA:

HIPAA Home | HHS.gov

To learn more about Tax IDs or Employee IDs:

irs.gov

Optum provider website:

- providerexpress.com
- Claim Tips: Provider Express > Quick Links > Claim Tips
- Claim Forms: Provider Express > Quick Links > Forms > Optum Forms Claims

Autism Votes website:

Advocate | Autism Speaks

EMedNY

- Provider Manual
- Enrollment Form



Key terms: General

- NPI
- CPT
- HCPCS
- HIPAA
- Form 1500
- HCFA 1500
- CMS 1500
- Modifiers
- Units
- Prior authorization
- Signature on file



- DSM-5 diagnosis
- ICD-10 diagnosis code
- Subscriber ID or Member ID
- Dependent
- Policy or Group Number
- TIN or EIN
- Place of Service
- Diagnosis Pointer
- Fee schedule
- Par/Non-Par
- SPD/COC



Key terms: completing claim forms

- Type of plan box
- Patient name
- Dependent
- Subscriber ID or Member ID Signature on File
- Patient address
- Policy or Group Number
- Prior authorization
- DSM-5 diagnosis
- ICD-10 diagnosis code
- ICD indicator
- Dates of Service
- Place of Service

- Procedure Code
- Modifiers
- Diagnosis Pointer
- Charges (total)
- Units
- NPI and Provider ID
- TIN or EIN
- Accept assignment
- Total charge
- Amount paid by patient
- Balance due





Optum

Optum is a registered trademark of Optum, Inc. in the U.S. and other jurisdictions. All other brand or product names are the property of their respective owners. Because we are continuously improving our products and services, Optum reserves the right to change specifications without prior notice. Optum is an equal opportunity employer.